



PTO/SB/21 (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/620,630	
	Filing Date	July 15, 2003	
	First Named Inventor	Yuanzhan HU et al.	
	Art Unit	TBA	
	Examiner Name	TBA	
Total Number of Pages in This Submission	8	Attorney Docket Number	12553/93

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. Form PTO-1449 with (4) references
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. PCT International Search Report
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	3. Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	KENYON & KENYON Stephen T. Neal (Reg. No. 47,815)	
Signature	<i>Stephen T. Neal</i>	
Date	September 16, 2003	

CERTIFICATE OF TRANSMISSION/MAILING		
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Typed or printed name	Pilar Rodriguez	
Signature	<i>Pilar Rodriguez</i>	Date September 16, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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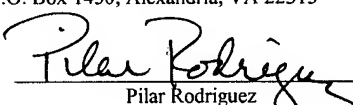


Docket No. 12553/93

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS : Yuanzhan HU et al.  
SERIAL NO. : 10/620,630  
FILED : July 15, 2003  
FOR : SYSTEM AND METHOD FOR CALIBRATING A HARD DISC  
DRIVE MAGNETIC HEAD FLYING HEIGHT TESTER BY  
OPTICAL INTERFERENCE TECHNIQUES  
GROUP ART UNIT : TBA  
EXAMINER : TBA

M/S: DD  
Commissioner for Patents  
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Alexandria, VA 22313-1450

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Date: September 16, 2003	 Pilar Rodriguez

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Submitted herewith pursuant to 37 CFR §1.56 is an Information Disclosure Statement with four (4) references listed on the attached PTO-1449 (modified) form. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The information disclosure statement, along with the International Search Report, is being

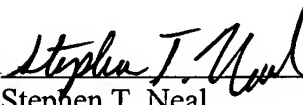
submitted under 37 C.F.R. §1.97(c)(1). I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

If any fee is required, please charge Kenyon & Kenyon, Deposit Account No. 11-0600.

Respectfully submitted,

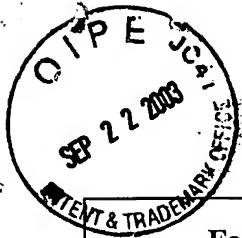
KENYON & KENYON

Dated: September 16, 2003

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<b>Form PTO-1449 (modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</b>	<b>Attorney Docket No.</b> 12553/93	<b>Serial No.</b> 10/620,630
	<b>Applicant</b> Yuanzhan HU et al.	
	<b>Filing Date</b> July 15, 2003	<b>Group Art Unit</b> TBA

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,624,565A	November 25, 1986	Dahlgren	356	243	April 1, 1985
	5,280,340A	January 18, 1994	Lacey	356	357	October 23, 1991
	5,410,402A	April 25, 1995	Li et al.	356	243	January 24, 1994
	2002/003577A1	March 28, 2002	Hashimoto et al.	29	603.09	August 23, 2001

\* - If pertinent

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	
EXAMINER	DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		